

**Investor complaints data for Stock broker - SUNIDHI SECURITIES AND FINANCE LTD**

**Data for every month ending – MARCH 2024**

| SN | Received from           | Pending as at the end of last month | Received* | Resolved* | Total Pending# | Pending complaints > 3 month | Average Resolution time^(in days) |
|----|-------------------------|-------------------------------------|-----------|-----------|----------------|------------------------------|-----------------------------------|
| 1  | Directly from Investors | NIL                                 | NIL       | NIL       | NIL            | NIL                          | NIL                               |
| 2  | SEBI (SCORES)           | NIL                                 | NIL       | NIL       | NIL            | NIL                          | NIL                               |
| 3  | SMART ODR               | NIL                                 | NIL       | NIL       | NIL            | NIL                          | NIL                               |
| 4  | Other Sources (if any)  | NIL                                 | NIL       | NIL       | NIL            | NIL                          | NIL                               |

**Trend of monthly disposal of complaints**

| SN | Month        | Carried forward from previous month | Received | Resolved* | Pending # |
|----|--------------|-------------------------------------|----------|-----------|-----------|
| 1  | Apr-23       | NIL                                 | NIL      | NIL       | NIL       |
| 2  | May-23       | NIL                                 | NIL      | NIL       | NIL       |
| 3  | June-23      | NIL                                 | NIL      | NIL       | NIL       |
| 4  | July-23      | NIL                                 | NIL      | NIL       | NIL       |
| 5  | August -23   | NIL                                 | NIL      | NIL       | NIL       |
| 6  | September-23 | NIL                                 | NIL      | NIL       | NIL       |
| 7  | October -23  | NIL                                 | NIL      | NIL       | NIL       |
| 8  | November -23 | NIL                                 | NIL      | NIL       | NIL       |
| 9  | December -23 | NIL                                 | NIL      | NIL       | NIL       |
| 10 | January-24   | NIL                                 | NIL      | NIL       | NIL       |
| 11 | February-24  | NIL                                 | NIL      | NIL       | NIL       |
| 12 | March-24     | NIL                                 | NIL      | NIL       | NIL       |

**Trend of annual disposal of complaints**

| SN | Year               | Carried forward from previous year | Received   | Resolved*  | Pending #  |
|----|--------------------|------------------------------------|------------|------------|------------|
| 1  | 2018-19            | NIL                                | NIL        | NIL        | NIL        |
| 2  | 2019-20            | NIL                                | NIL        | NIL        | NIL        |
| 3  | 2020-21            | NIL                                | NIL        | NIL        | NIL        |
| 4  | 2021-22            | NIL                                | NIL        | NIL        | NIL        |
| 5  | 2022-23            | NIL                                | NIL        | NIL        | NIL        |
| 6  | 2023-24            | NIL                                | NIL        | NIL        | NIL        |
|    | <b>Grand Total</b> | <b>NIL</b>                         | <b>NIL</b> | <b>NIL</b> | <b>NIL</b> |

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

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